

POLITICALLY EXPOSED PERSONS (PEP) Declaration Form

For Bank use only

This form is an integral part of Information Form (KYC)

If you have already submitted this form and some information needs to be updated, please fill in your name, ID card number and relevant sections to be changed. Please fill form in CAPITAL LETTERS

Customer No:

CRM No:

SECTION A - YOUR DETAILS

Full Name
(as in ID Card/as in PP for Foreigners)

ID Card No. / Passport No.

Source of Wealth

- Sale of Property / Company
 Investment Proceeds
 Inheritance
 Compensation Payment
 Others, please specify

SECTION B - PEP STATUS

Tick as appropriate If close associate is a shareholder, Director & Company Secretary of an entity, fill the details in SECTION D and provide a copy of MED Profile Sheet

- Primary PEP- Fill in the family member details in SECTION C
 Family Member of PEP - Fill in the family member details in SECTION C
 Close Associate of PEP - If close associate as individual, fill the details in SECTION C

SECTION C - DETAILS OF PEP

Name	ID Card / PP No.	Permanent Address	Position held	Relationship

SECTION D - DETAILS OF PEP

Business Name	Registration No.	Relationship

PEP CATEGORY

Please tick as appropriate

- | | | |
|--|---|--|
| <input type="checkbox"/> Head of State | <input type="checkbox"/> Cabinet Ministers | <input type="checkbox"/> Elected Council Members |
| <input type="checkbox"/> Member of Parliament | <input type="checkbox"/> Judges and Magistrates | <input type="checkbox"/> Senior Officials appointed as per the provisions of specific law |
| <input type="checkbox"/> Members and senior officials of state institutions/ or agencies | <input type="checkbox"/> Senior Military Officials | <input type="checkbox"/> Senior Politicians and important political party officials |
| <input type="checkbox"/> Senior political appointees of a government | <input type="checkbox"/> Board Members of State owned Enterprises | <input type="checkbox"/> Senior and /or influential officials, functionaries and people with similar functions in international or supranational organisations |
| <input type="checkbox"/> Officials of Maldives Missions abroad | <input type="checkbox"/> State Ministers | |

SIGNATURE:

DECLARATION

I hereby agree:

1. That the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform the bank of any changes therein, immediately.
2. That information provided can be used only by the bank for customer relationship purposes.
3. That in case any of the above information is found to be false, untrue, misleading or misrepresenting, I am aware that I may be liable for it.

D	D	M	M	Y	Y	Y	Y
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Date

Signature

What is a Politically Exposed Person (PEP)?

PEP is any person who is or has been entrusted with prominent public functions in the Maldives or any foreign country as well as members of such person's family or those closely associated with him/her

Category	Type
1. Heads of State	a. Presidents, Vice Presidents & Prime Ministers
2. Cabinet ministers, state ministers and deputy ministers	a. Cabinet Ministers, State and Deputy Ministers
3. Members of Parliament	a. Members of Parliament
4. Judges and magistrates	a. Civil, Criminal, Juvenile, Supreme Court, Drug Court Family Court Judges, b. Island Court/Magistrate Court Judges
5. Elected council members	a. Mayors and Deputy Mayors (City Councils) b. Atoll and Island councilors
6. Members and senior officials of State Institutions and/or agencies	a. Members of Independent Commissions (E.g. Civil Service, JSC, Election Commission, HRCM, Integrity Commission, etc.) b. Prosecutor General, Attorney General (and their deputies) c. Senior Officials of all state and government agencies d. High Ranking officials of Maldives Police Service (E.g. Commissioner & Deputy)
7. Senior military officials	a. Chief and Vice Chief of Defence Force b. High Ranking officials of the military
8. Senior officials appointed as per the provisions of specific Law	a. Head of Financial Intelligence Unit, Information Commissioner etc.
9. Board members of state owned enterprises (SOE's)	a. Board Members of all State own enterprises (E.g. STO, FENAKA, MWSC etc.)
10. Senior political appointees of a government	a. Coordinators at various ministries
11. Foreign Diplomats	a. Ambassadors, High Commissioners and Secretaries of Foreign Missions in the Maldives b. Maldives Consulates Abroad etc.
12. Senior Politicians and important Political party officials	a. Former Presidents/VP's, Party leaders, deputy leaders, Secretary General, etc. b. Senior political party members
13. Officials of Maldives Missions abroad	a. Ambassadors, Deputies and Secretaries of Maldives missions abroad
14. Senior and/or influential officials, functionaries and people with similar functions in international or supranational organizations	a. UNDP Resident Representative in Maldives b. Representative of International Organization
15. Family members	a. Family members include close family members such as spouses, children, parents, siblings, may also include other blood relatives and relatives by marriage.
16. Close associates	a. Closely associated persons include beneficial owners of legal entities associated with the PEP, close business colleagues, personal advisors/consultants, and persons who obviously benefit significantly from being close to such a person, (E.g. lawyers associated with the PEP).

List of examples of appropriate information and/or supporting documentation required to establish Source of Wealth:

Source of Wealth	Documentary evidence required
Investment Proceeds	a. Records of external investments b. Records documenting shareholder capital contribution c. Investment account statements / proof of redemptions from Investment companies d. Bank statement showing receipt of funds by investment company name
Sale of Property/Company	a. Proof of Sale (Eg: signed letter from solicitor or regulated accountant, copies of media coverage (if applicable) as supporting evidence)
Inheritance	a. Copy of Will / trust deed / grant of probate / grant of administration
Compensation Payment	a. Court Order or letter from solicitor / compensating party
Other Sources	a. Commercial loan agreement letter b. Intra-Group Financing – Audited Financial Statements c. Other Bank account statements d. Written confirmation from a qualified accountant/lawyer e. Asset and income declarations f. Nature of income, amount, date received and from who (with appropriate supporting documentation)

